

2017 CAD/GRAPHICS

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Hybrid-feature-guided Lung Nodule Type Classification on CT Images

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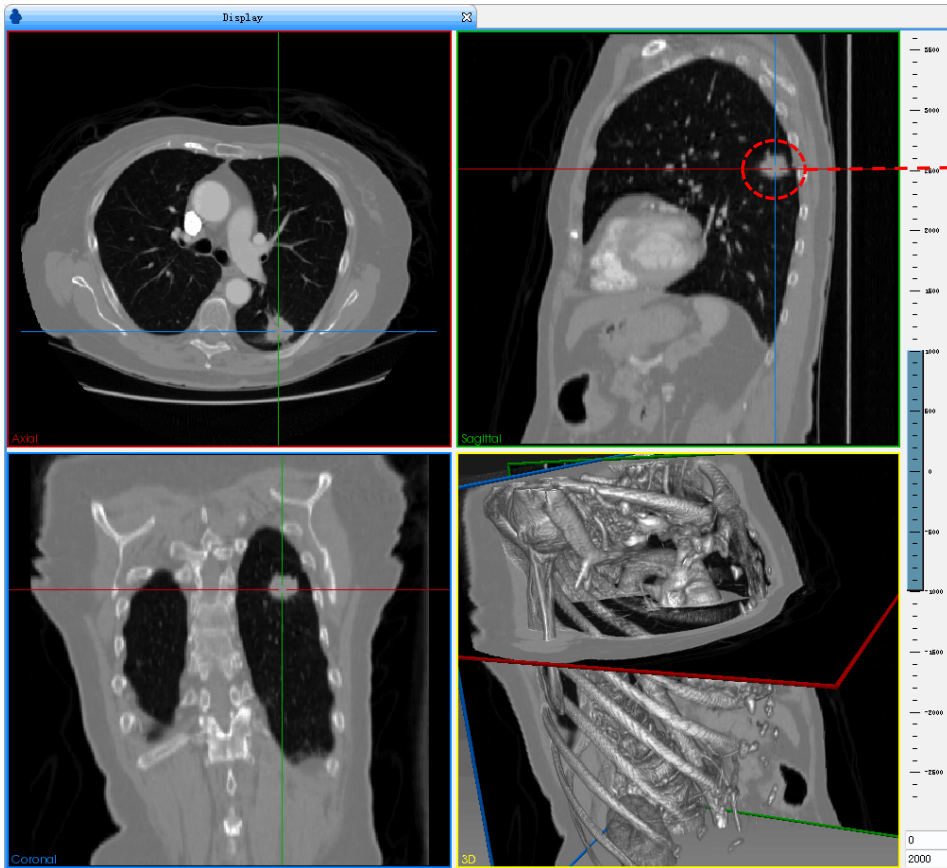
- Introduction
- Methods
- Results
- Conclusions & Discussion



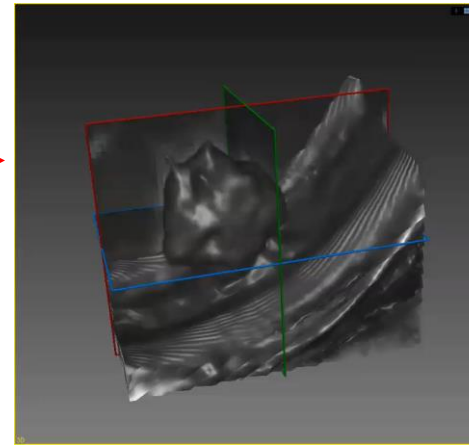
What is Lung Nodule?

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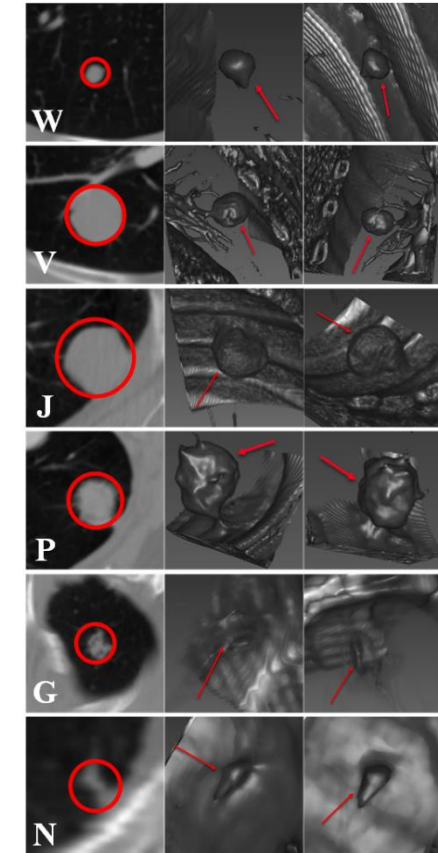
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Original pulmonary CT



3D model for nodule



Typical cases for nodule types

- Enhancement towards original medical images
 - [Yao et al. IEEE Trans Biomed Eng(2013)]
 - [Song et al. IEEE Trans Med Imag (2013)]
 - [Mansoor et al. EMBC (2014)]
 - [Gao et al. ICNC (2007)]
- Feature to describe nodules' characteristics
- CNNs-based method in the field of medical analysis
- Researches on nodule type classification



- Enhancement towards original medical images
- **Feature to describe nodules' characteristics**
 - [Galaro et al. EMBC (2011)]
 - SIFT:[Zhang et al. EMBC (2013)]
 - HOG:[Unay et al. ISBI (2011)][Song et al. IEEE Trans Med Imag (2012)]
 - LBP:[Jacobs et al. MICCAI (2011)][Sorensen et al. IEEE Trans Med Imag (2010)]
 - [Ciompi et al. IEEE Trans Med Imag (2015)]
 - [Kim et al. Investigative Radiology(2015)]
 - [Chen et al. MICCAI (2016)]
- CNNs-based method in the field of medical analysis
- Researches focus on nodule type classification



- Enhancement towards original medical images
- Feature to describe nodules' characteristics
- **CNNs-based method in the field of medical analysis**
 - [Rongjian et al. MICCAI (2014)]
 - [Roth et al MICCAI (2014)]
 - [Arnaud et al IEEE Trans Med Imag (2016)]
 - [Prasoon et al MICCAI (2013)]
 - [Dou et al IEEE Trans Med Imag (2016)]
- Researches focus on nodule type classification



- Enhancement towards original medical images
- Feature to describe nodules' characteristics
- CNNs-based method in the field of medical analysis
- **Researches focus on nodule type classification**
 - [Farag et al. ISBI (2011)]
 - [Zhang et al. IEEE Trans Biomed Eng (2014)]
 - [Song et al. ISBI (2012)]



- How to precisely capture nodules characteristics ?
- How to take both statistical features and geometrical features into consideration for better classification ?



- A normalized **spherical sampling** pattern, a nodule **radius estimation** method and a best **view selection** method
- A **multi-view multi-scale CNN** to extract the most discriminative statistical features from original data automatically
- An approach to combine CNN features and FV encoding features into **hybrid features** and use to classify nodule types accurately



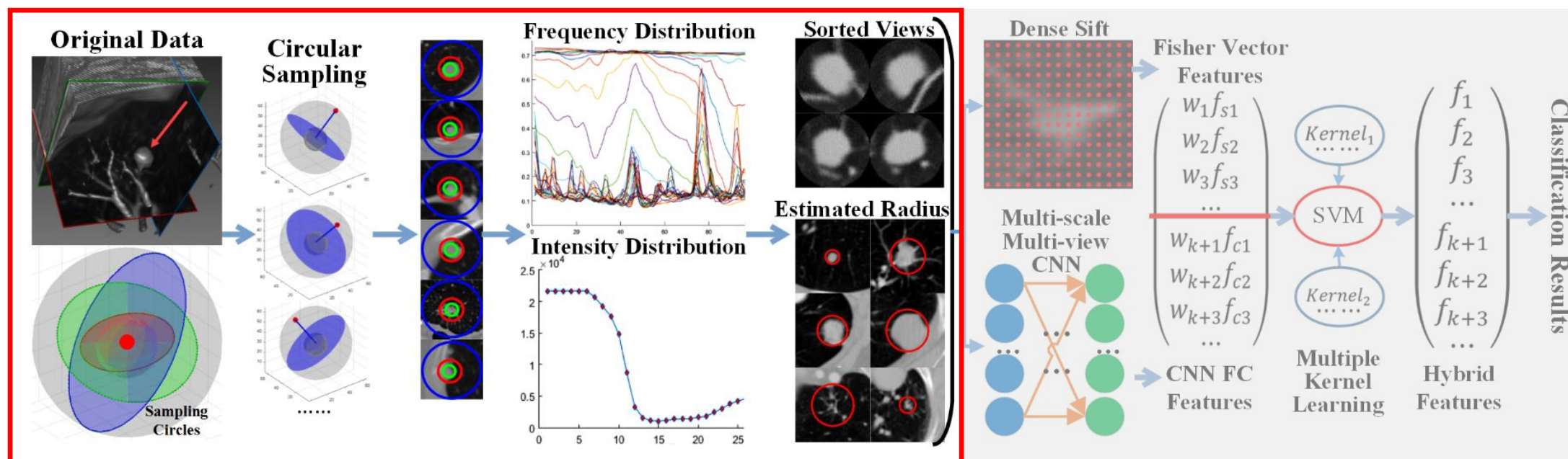
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Overview

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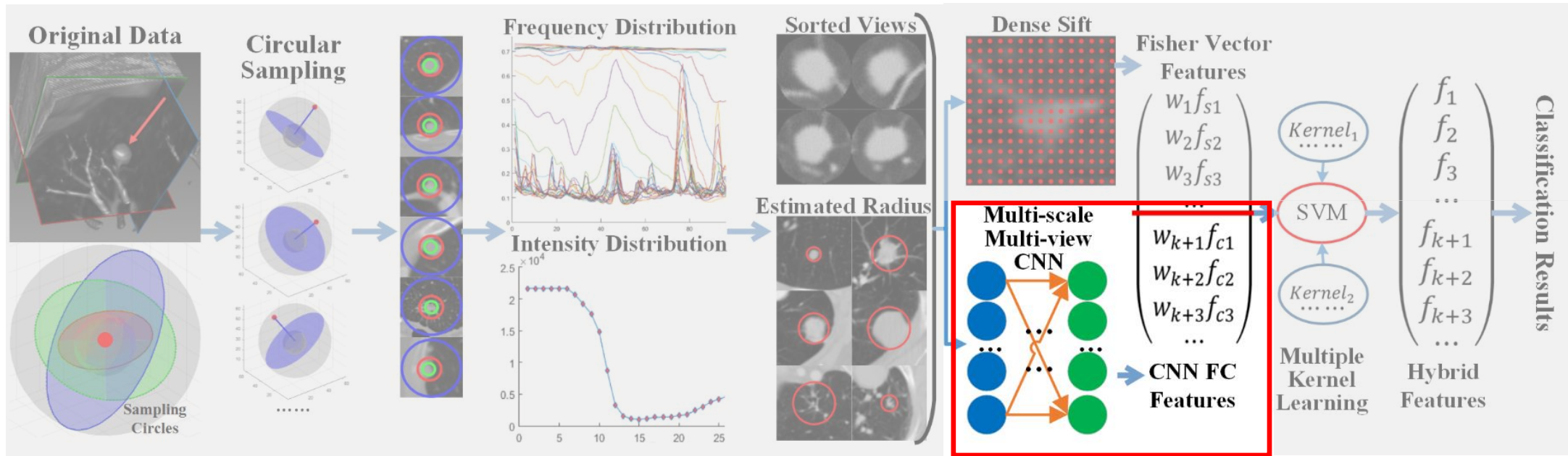


Images Generation

Overview

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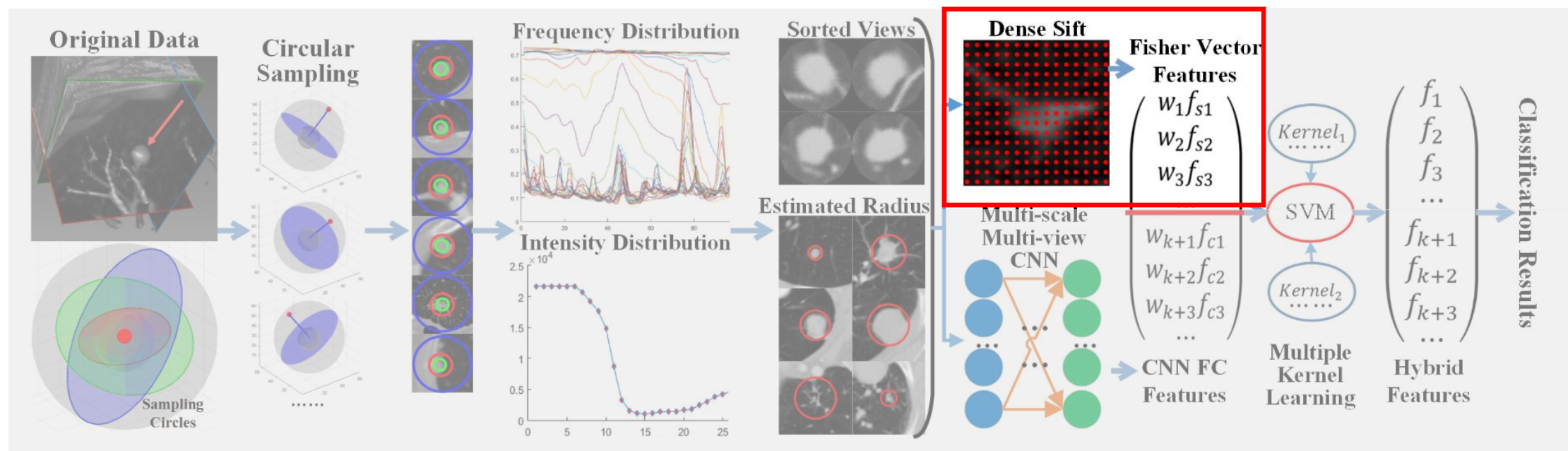
Statistical Features

Overview

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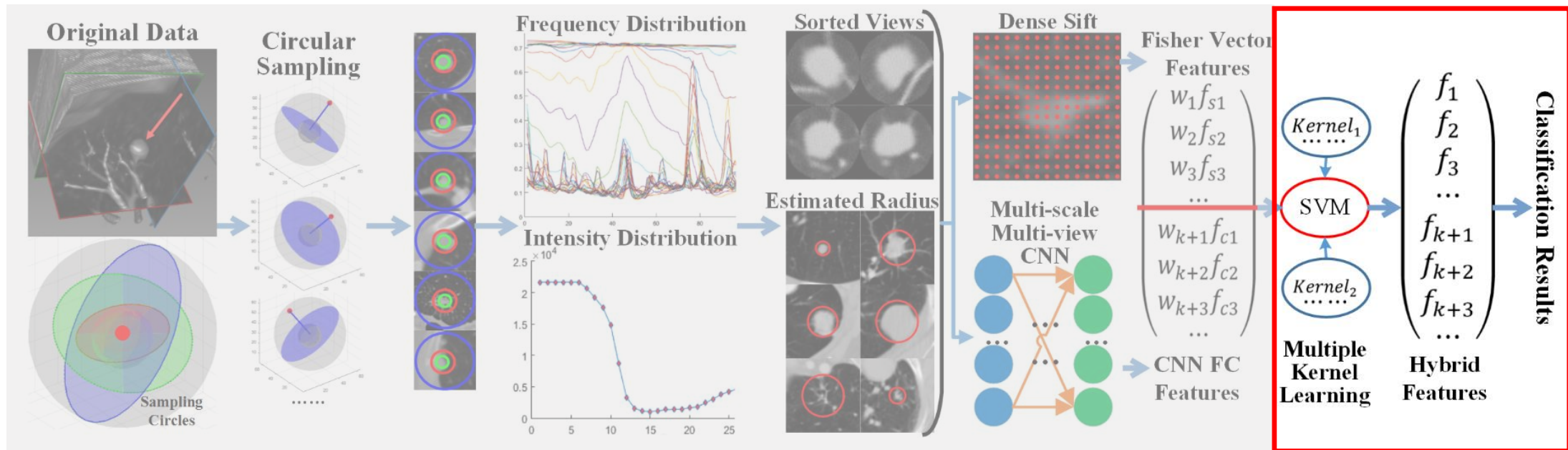
Geometrical Features



Overview

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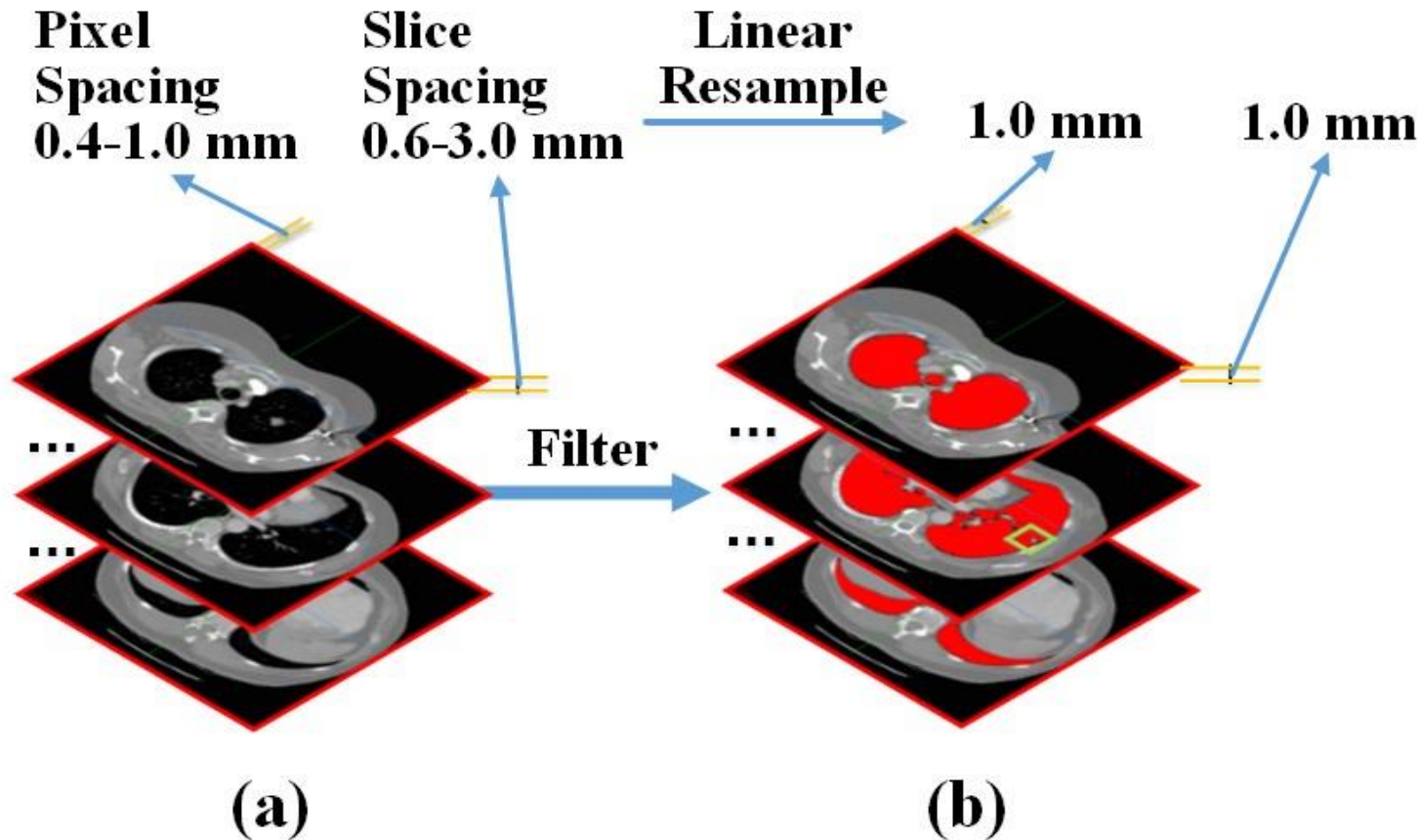
Hybrid Features and Classify

Images Generation

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Preprocessing → Nodule Spherical Sampling → Nodule Radii Estimation → View Sorting

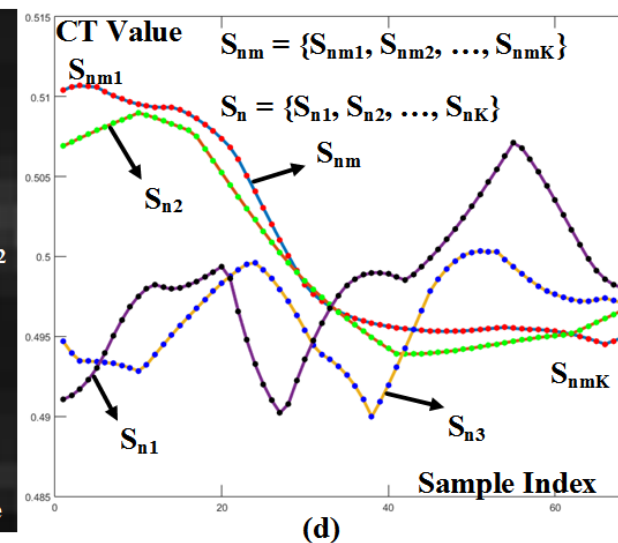
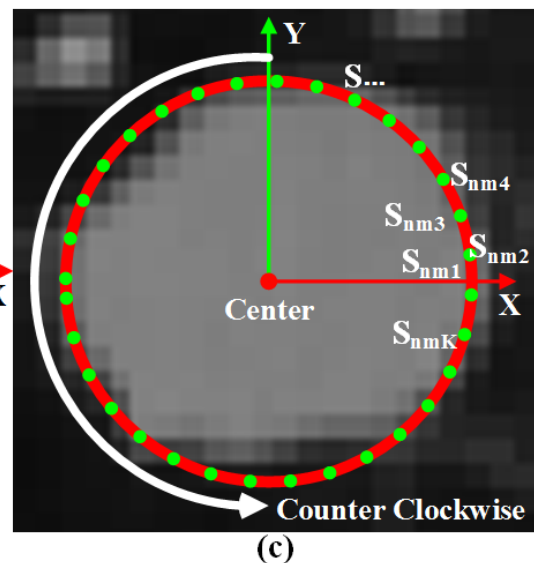
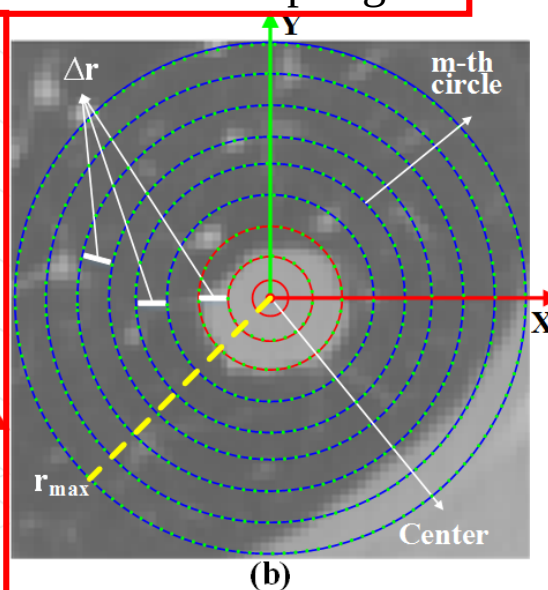
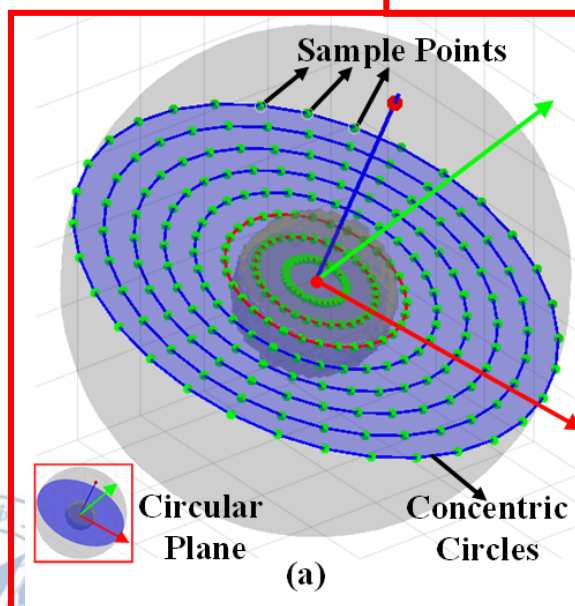
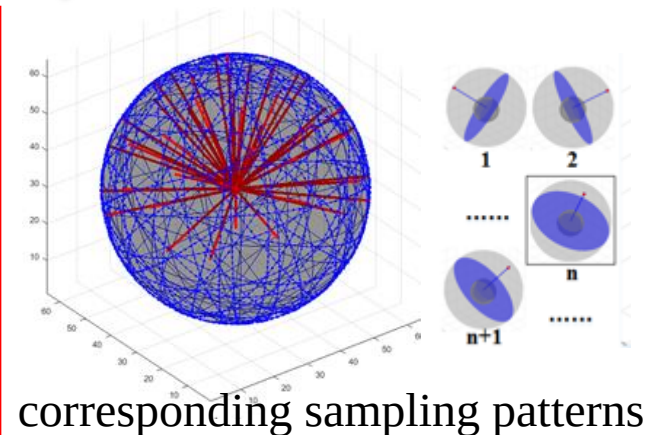
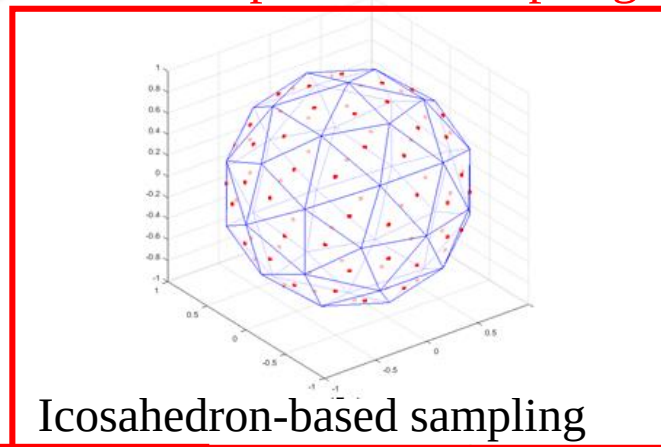


Images Generation

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Preprocessing → Nodule Spherical Sampling → Nodule Radii Estimation → View Sorting



Preprocessing ➡ Nodule Spherical Sampling ➡ **Nodule Radii Estimation** ➡ View Sorting

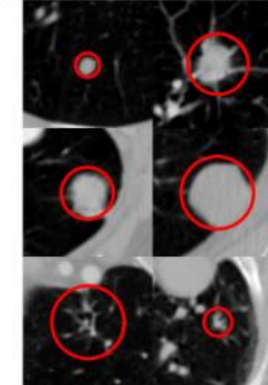
Algorithm 2: RADIUS_ESTIMATION

Input: S , sampled 3-D matrix with size $N \times M \times K$ for V .
 $N / M / K$, specified parameters.

Output: r_{est} , estimated radius for V .

```
1 Counter  $\leftarrow$  0;  
2 for  $n = 1 \rightarrow N, m = 1 \rightarrow M, k = 1 \rightarrow K$  do  
3   if  $S_{nmk} > threshold$  then  
4     Counter $_{nm} = Counter_{nm} + 1$ ;  
5 R_Counter  $\leftarrow$  0;  
6 for  $m = 1 \rightarrow M, n = 1 \rightarrow N$  do  
7   R_Counter $_m \leftarrow R\_Counter_m + Counter_{nm}$ ;  
8   if R_Counter $_m < counter\_threshold$  then  
9      $r_1 \leftarrow m$ ;  
10    break;  
11  $r_2 \leftarrow local\_min(R\_Counter)$ ;  
12  $r_{est} = \min(r_1, r_2)$ ;  
13 return  $r_{est}$ ;
```

Estimated Radius



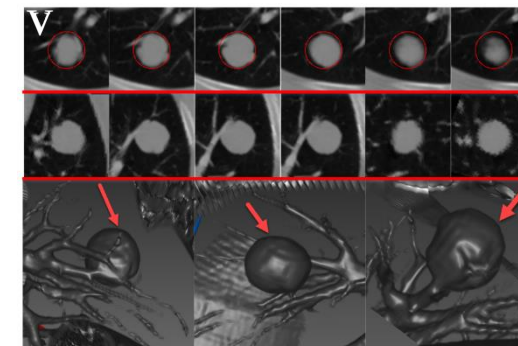
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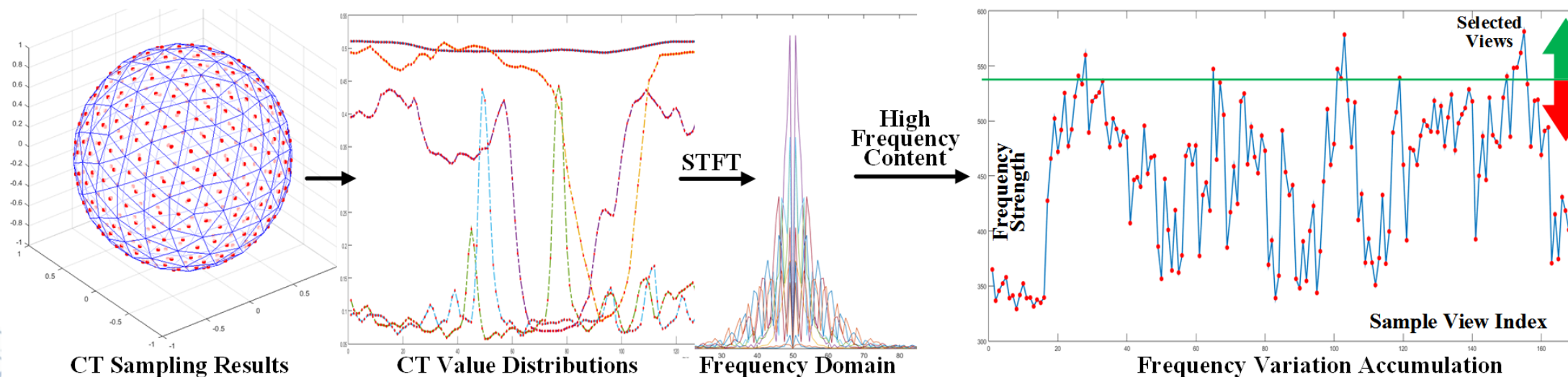
$$freq_n = \sum_{m=1}^M D_C(S_{nm}), \quad D_C(S_{nm}) = \frac{1}{K} \sum_{k=1}^K |\hat{X}_k - X_k|$$



Original axial slice

View sorting slice

View Sorting Results



View sorting based on high frequency content analysis

Hybrid Feature Extraction

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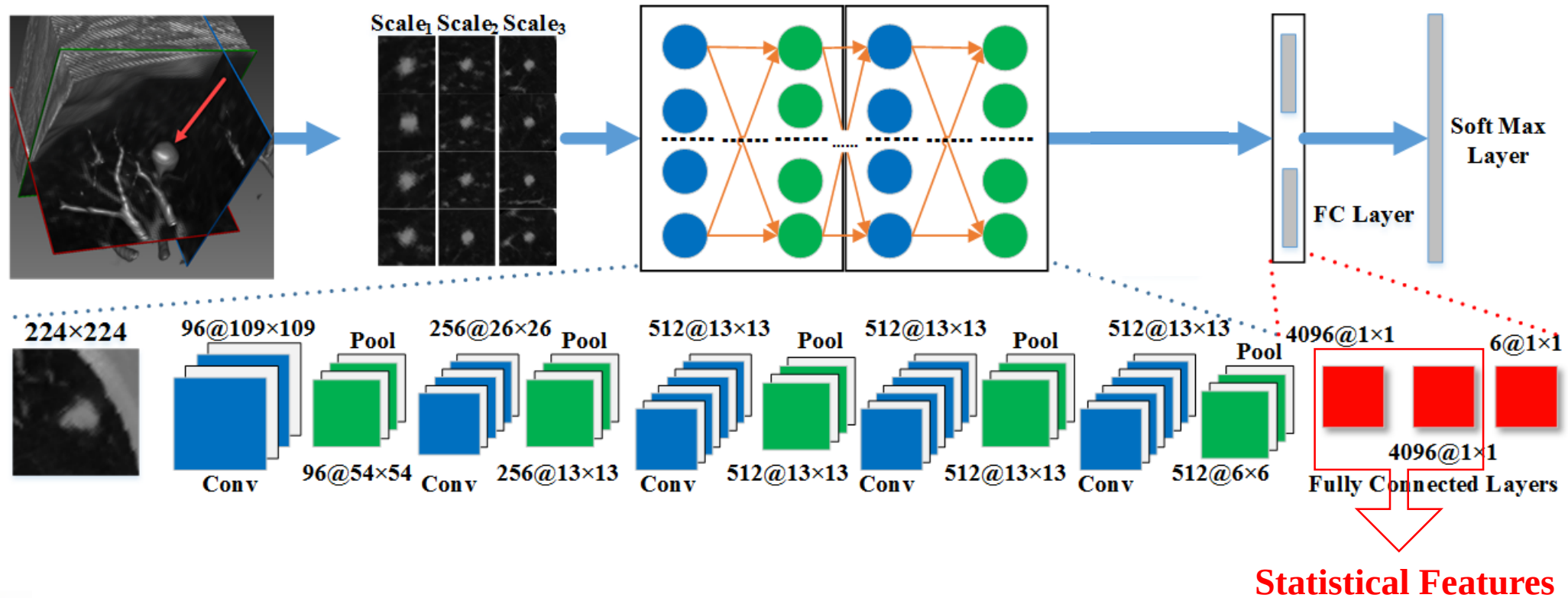
Multi-view Multi-scale
CNN Feature Extraction



Fisher Vector
Feature Extraction



Feature Fusion based on Multiple
Kernel Learning and Classification



Statistical Features

Hybrid Feature Extraction

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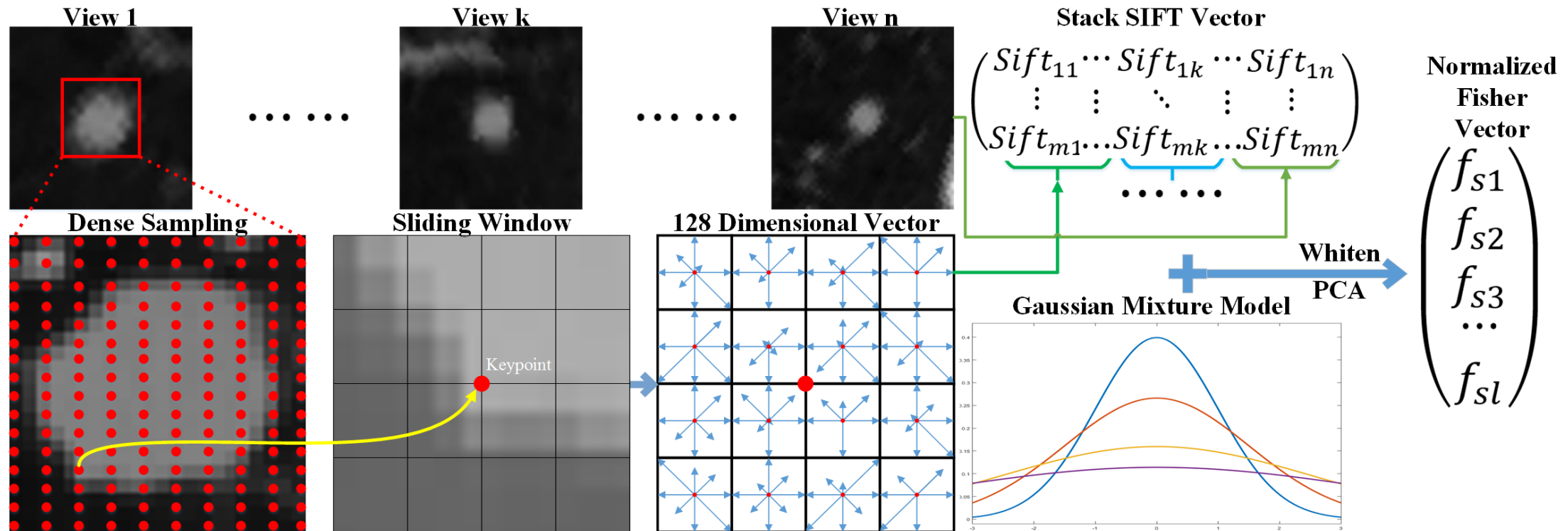
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Feature Fusion based on Multiple
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Hybrid Feature Extraction

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Multi-view Multi-scale
CNN Feature Extraction

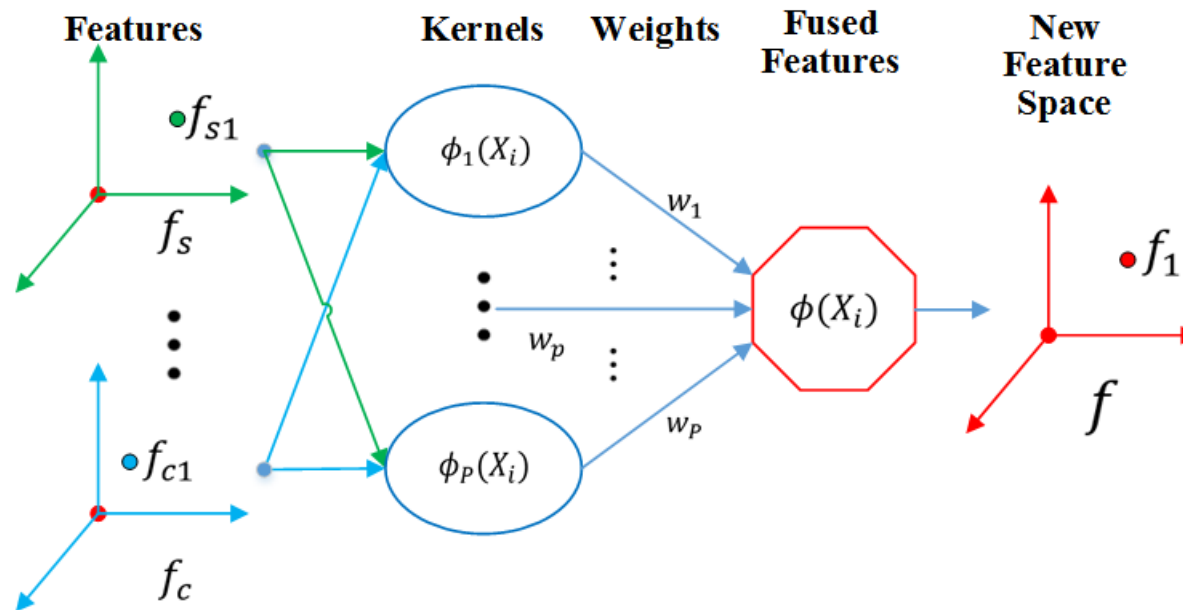


Fisher Vector
Feature Extraction



Feature Fusion based on Multiple
Kernel Learning and Classification

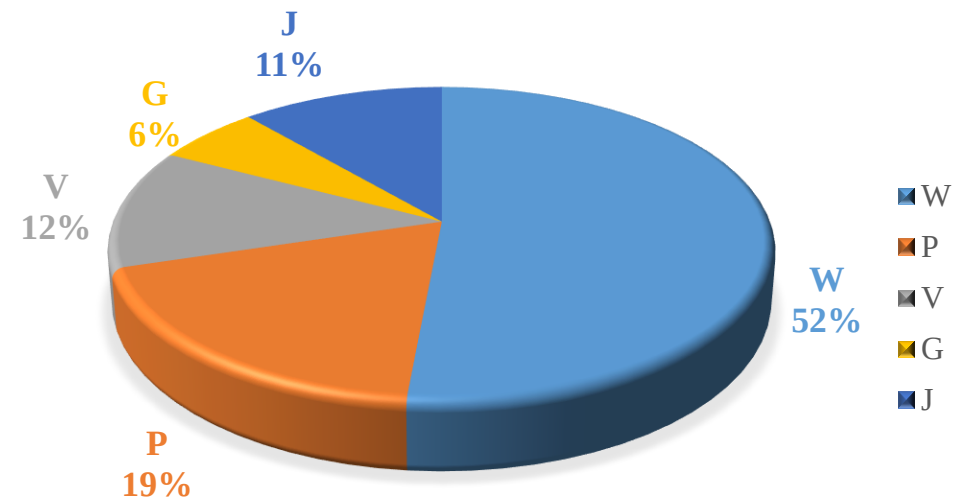
$$F(x_i, x_j) = \sum_{k \in K} w_k \cdot f_k(x_i, x_j)$$



The multiple kernel learning approach for feature fusion

- LIDC-IDRI
 - Nodule agreement levels ≥ 2
 - 1738 nodules, 1000 non-nodules from 744 chest CTs
 - W: 905, 52.0%; P: 329, 19%
 - V: 219, 12.5%; G: 82, 6%; J: 203, 11.5%
- Original CT
 - 512×512 pixels
 - In-plane spacing, 0.4-1.0 mm
 - Slice thickness, 0.5-3 mm

Distribution of Nodule Types in LIDC



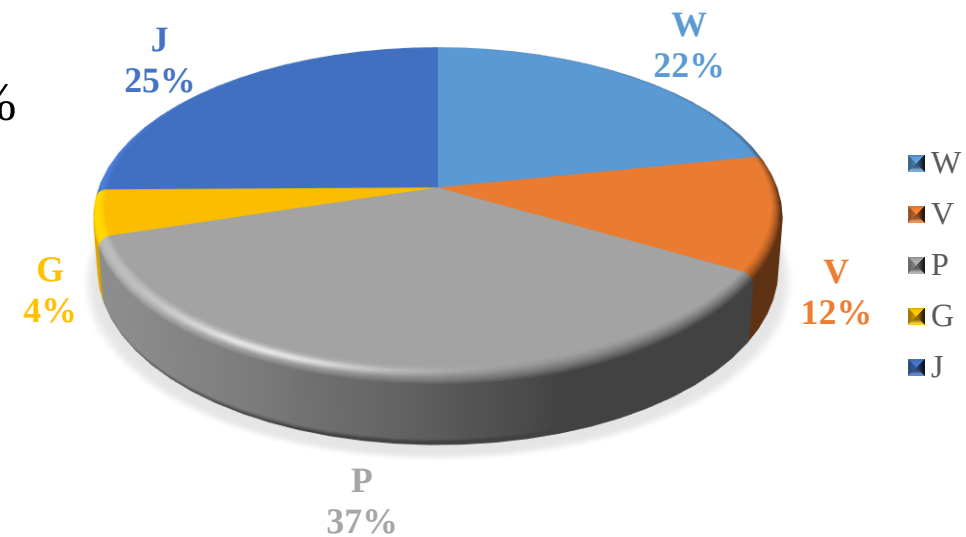
- ELCAP

- 46 cases with 421 nodules
 - W:92, 21.8%; V: 49, 11.6%;
 - P: 155, 36.8%; J: 106, 25.2%, G: 19,4.6%

- Data Augmentation

- Classical methods
 - Image rotation, scaling, flipping
- Random selection based on the estimated nodule radii and sorted views

Distribution of Nodule Types in ELCAP



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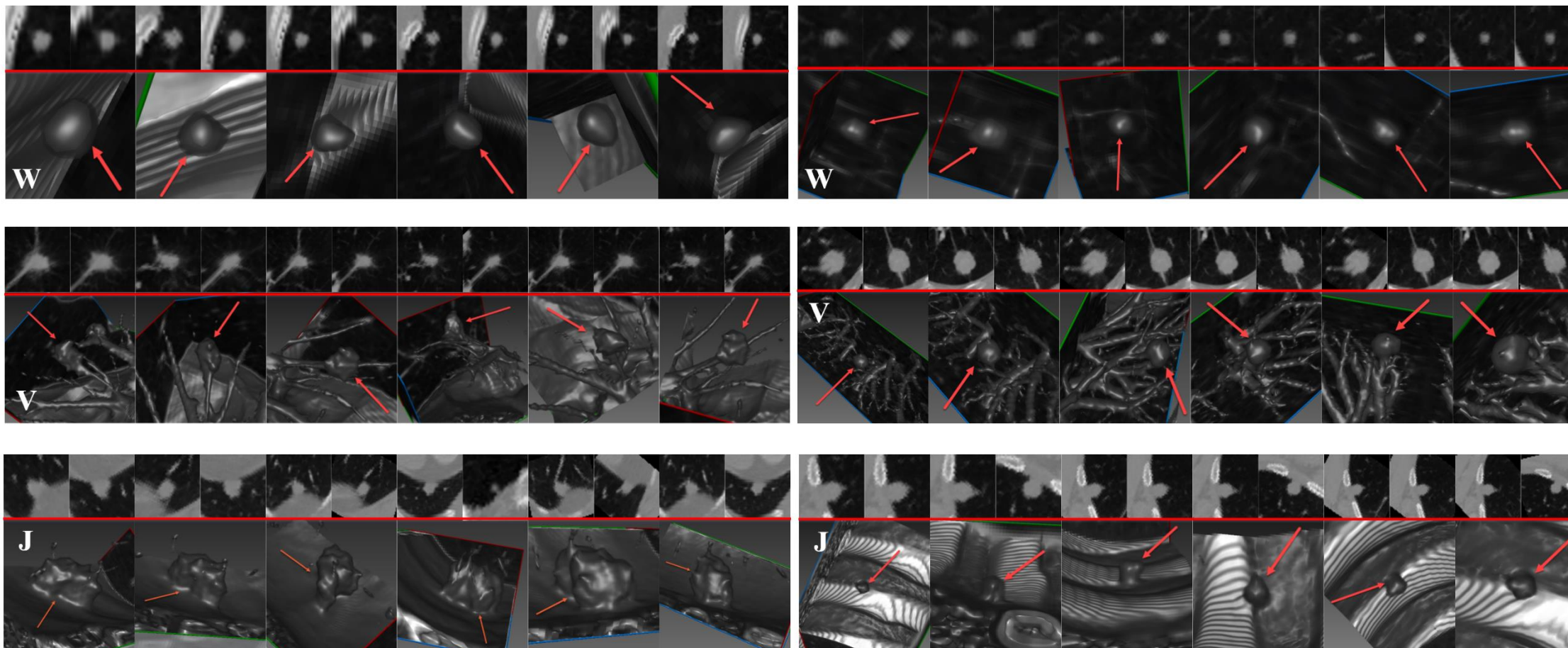


Results

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Classification Results for Typical Cases

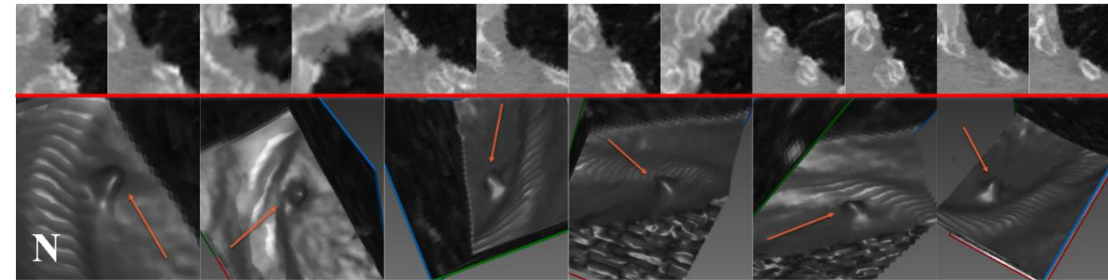
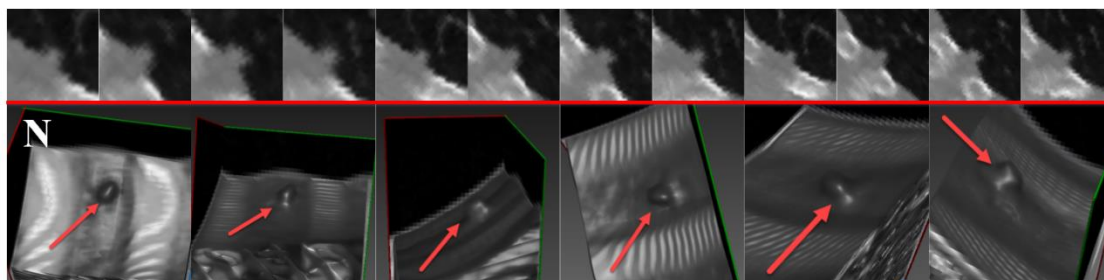
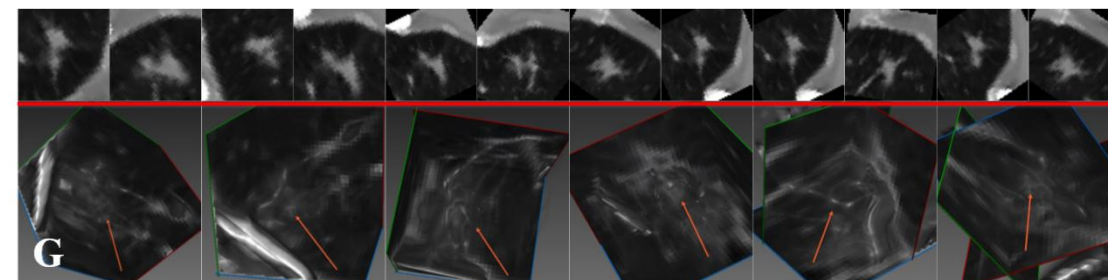
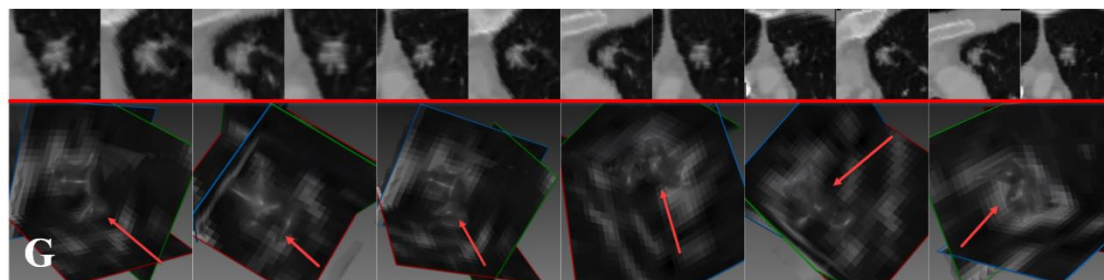
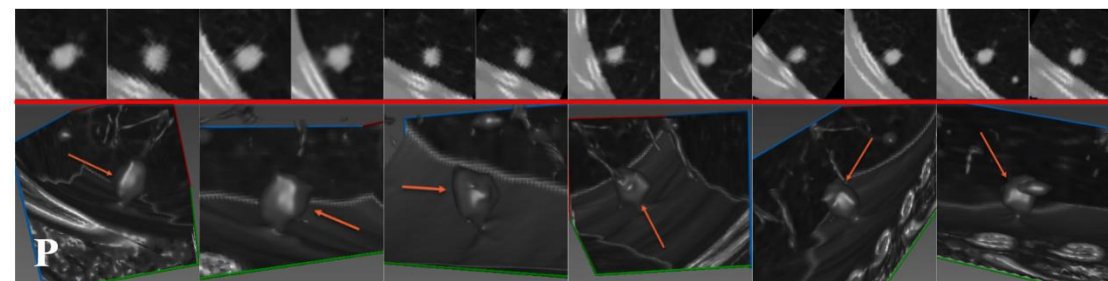
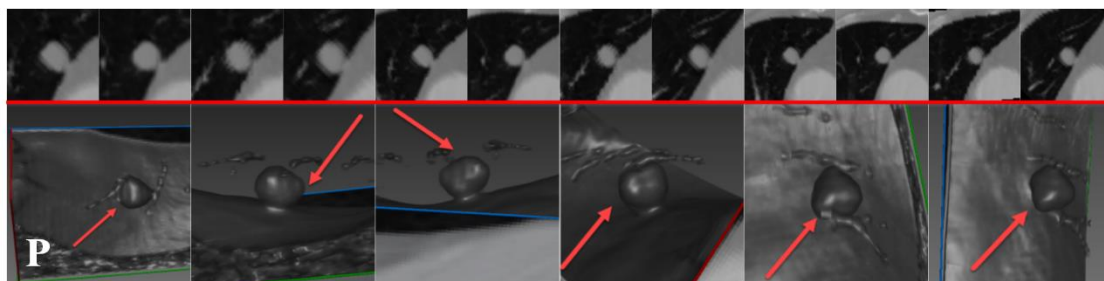


Results

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Classification Results for Typical Cases



Comparison

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TYPE/METHOD		G	W	N	P	V	J
G	PB	N/A	N/A	N/A	N/A	N/A	N/A
	The Proposed	0.793	0	0.138	0	0	0.069
W	PB	N/A	0.89	N/A	0.06	0.04	0.01
	The Proposed	0	0.961	0.039	0	0	0
N	PB	N/A	N/A	N/A	N/A	N/A	N/A
	The Proposed	0	0.028	0.972	0	0	0
P	PB	N/A	0.03	N/A	0.91	0.03	0.03
	The Proposed	0	0	0.063	0.938	0	0
V	PB	N/A	0.05	N/A	0.06	0.86	0.03
	The Proposed	0	0	0.068	0	0.932	0
J	PB	N/A	0.03	N/A	0.06	0.04	0.87
	The Proposed	0	0	0.169	0	0	0.831

Prop = Proposed method

PB = Patch-based [40]

P = Pleural-tail

W = Well-circumscribed

V = Vascularized

J = Juxta-pleural

**Overall classification rate
89%(PB)\93.9%(Ours)**

Confusion matrix for comparison with PB method on ELCAP



- CNNs
 - classical pure CNN + soft-max
- CNNs+Pooling
 - with max-pooling layer
- CNN_F
 - multi-view multi-scale CNN
- CNN_F+Pooling
 - with max-pooling layer
- FV+SIFT
- BOW+SIFT



Comparison

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The Proposed **93.9%**, CNN_S **80.6%**, CNN_S+Pooling **68.1%**, CNN_F **85.9%**, CNN_F+Pooling **86.8%**, FV+SIFT **65.5%**, BOW+SIFT **56.6%**

TYPE/METHOD		G	W	N	P	V	J
G	CNN _S	0.809	0.045	0.101	0	0.045	0
	CNN _S +Pooling	0.854	0.023	0.079	0.011	0.034	0
	CNN _F	0.596	0.067	0.101	0.045	0.124	0.067
	CNN _F +Pooling	0.809	0.034	0.112	0	0.045	0
	FV+SIFT	0.615	0.021	0.077	0.070	0.154	0.063
	BOW+SIFT	0.524	0.063	0.063	0.091	0.168	0.091
	The Proposed	0.899	0.011	0.079	0	0.011	0
W	CNN _S	0.022	0.801	0.018	0.074	0.055	0.030
	CNN _S +Pooling	0.011	0.867	0.011	0.066	0.033	0.011
	CNN _F	0.052	0.683	0.041	0.074	0.125	0.026
	CNN _F +Pooling	0.011	0.963	0.015	0	0.011	0
	FV+SIFT	0.022	0.735	0.040	0.036	0.157	0.009
	BOW+SIFT	0.036	0.664	0.027	0.054	0.206	0.013
	The Proposed	0	0.993	0.004	0.004	0	0
N	CNN _S	0.015	0.045	0.865	0.020	0.020	0.035
	CNN _S +Pooling	0.010	0.040	0.885	0.010	0.020	0.035
	CNN _F	0.035	0.070	0.735	0.045	0.030	0.085
	CNN _F +Pooling	0.005	0.035	0.885	0.010	0.030	0.035
	FV+SIFT	0.129	0.041	0.676	0.071	0.006	0.076
	BOW+SIFT	0.153	0.029	0.571	0.071	0.018	0.159
	The Proposed	0.010	0.035	0.915	0.005	0.025	0.010

P	CNN _S	0.027	0.054	0.116	0.705	0.027	0.071
	CNN _S +Pooling	0	0.063	0.089	0.786	0	0.063
	CNN _F	0.036	0.116	0.107	0.607	0.009	0.125
	CNN _F +Pooling	0.018	0.045	0.152	0.759	0.009	0.018
	FV+SIFT	0.067	0.044	0.081	0.659	0.015	0.133
	BOW+SIFT	0.089	0.111	0.126	0.474	0.030	0.170
	The Proposed	0	0.018	0.116	0.866	0	0
V	CNN _S	0.064	0.113	0.043	0	0.780	0
	CNN _S +Pooling	0.028	0.078	0.050	0.028	0.816	0
	CNN _F	0.057	0.142	0.064	0.043	0.688	0.007
	CNN _F +Pooling	0.007	0.085	0.078	0	0.830	0
	FV+SIFT	0.063	0.162	0.035	0.077	0.606	0.056
	BOW+SIFT	0.063	0.232	0.007	0.092	0.507	0.099
	The Proposed	0.014	0.035	0.043	0	0.908	0
J	CNN _S	0.012	0.018	0.096	0.036	0.006	0.831
	CNN _S +Pooling	0.012	0	0.042	0.042	0	0.904
	CNN _F	0.018	0.036	0.102	0.133	0.006	0.705
	CNN _F +Pooling	0.012	0.030	0.066	0.054	0.006	0.831
	FV+SIFT	0.048	0.030	0.139	0.157	0.030	0.596
	BOW+SIFT	0.048	0.048	0.181	0.108	0.024	0.590
	The Proposed	0.018	0.006	0.048	0	0	0.928

G = Ground glass optic

N = Non-nodule

P = Pleural-tail

W = Well-circumscribed

V = Vascularized

J = Juxta-pleural



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- Devise a hybrid-feature-based lung nodule type classification method
- The experiments on LIDC-IDRI and ELCAP have shown we achieve an overall classification rate of 93.1% (911 out of 979) and 93.9% (647 out of 689) separately
- Limitations
 - Complex pipeline
 - Low efficiency for the feature extraction and fusion



- Estimate radius more robustly and scale in-variant towards very tiny nodules (radius < 3 mm) and juxta-pleural nodules
- Label out types, positions and sizes automatically for nodules not centered in images with few human interactions
- Design 3D CNNs to effectively reduce error results in lung nodule classification from volumetric CT scans



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Thank you!



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STATE KEY LABORATORY OF VIRTUAL REALITY TECHNOLOGY AND SYSTEMS